



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bakos et al. Art Unit: 1774
Application No.: 10/649,504 Examiner: Mulvany
Filing Date: August 26, 2003
Title: Directory Read Inhibitor for Optical Storage Media

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATION UNDER 37 CFR § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

7/19/2004
Date of Signature and
of Mail Deposit

Marijke Abbes
Marijke Abbes

INFORMATION DISCLOSURE STATEMENT

Commissioner:

Applicants submit herewith the references on the attached form PTO-1449. The above-identified patent application was filed after June 30, 2003, therefore, copies of the cited references are not enclosed as the requirement under 37 CFR 1.98(a)(2)(i) has been waived. This information disclosure statement is being filed under 37 C.F.R. § 1.97 (c) (1), after the mailing of a first Office Action on the merits, but before the mailing date of either a Notice of Allowance or a Final Office Action. The fee of \$180.00 should be charged to Deposit Account No. 08-0217.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to the patentability of the invention, or that the listed documents are prior art. Applicants reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application. If it should be determined that any of the listed

07/21/2004 SSESHE1 00000011 080219 10649504

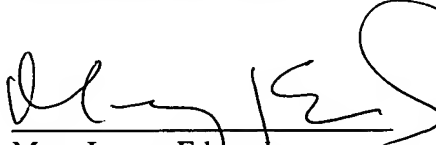
01 FC:1806 180.00 DA

documents constitute prior art under United States law, Applicants reserve the right to present to the Office relevant facts and law regarding the significance of such documents to the patentability of the claimed invention.

It is respectfully requested that the Examiner initial and return copies of the enclosed form PTO-1449 with the next Patent Office communication.

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,


Mary Joanna Edwards
Registration No. 55,140

Date: July 19, 2004
Wilmer Cutler Pickering Hale and Dorr LLP
60 State Street
Boston, MA 02109
Telephone No.: 617-526-6215

INFORMATION DISCLOSURE
IN AN APPLICATION

(Use several sheets if necessary)

Applicant
Bakos, et al.Filing Date
August 26, 2003Group Art Unit
1774

Sheet 1 OF 3

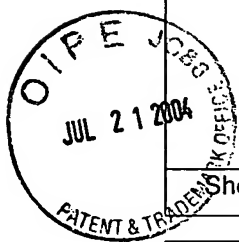
U.S. Patent Documents

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,237,211	12/02/1980	Fanselow	430	338	
	4,380,769	04/19/1983	Thomas et. Al.	346	135.1	
	4,452,288	06/05/1984	Shaw	144	208	
	4,478,768	10/23/1984	Takeoka et al.	264	1.4	
	4,527,173	07/02/1985	Gupta et al.	346	135.1	
	4,530,706	07/23/1985	Jones	096	117.5	
	4,542,288	09/17/1985	Drexler	235	487	
	4,578,690	03/25/1986	Veillette et al.	503	212	
	4,584,641	04/22/1986	Guglielmino	713	200	
	4,636,820	01/13/1987	Schmidt et al.	503	224	
	4,677,604	06/30/1987	Selby III et al.	369	030.27	
	4,700,839	10/20/1987	Fujii	206	308.3	
	4,720,826	01/19/1988	Sugiyama et al.	369	283	
	4,734,796	03/29/1988	Grynberg et al.	360	060	
	4,735,839	04/05/1988	Sato et al.	428	064.7	
	4,748,316	05/31/1988	Dickson	235	454	
	4,785,361	11/15/1988	Brotby	360	060	
	4,849,836	07/18/1989	Kachikian	360	060	
	4,865,942	09/12/1989	Gottschalk et al.	430	138	
	4,866,769	09/12/1989	Karp	705	056	
	4,879,704	11/07/1989	Takagi et al.	369	053.21	
	4,886,162	12/12/1989	Ambrogio	206	710	
	4,933,377	06/12/1990	Saeva et al.	522	031	
	4,975,898	12/04/1990	Yoshida	369	100	
	4,980,782	12/25/1990	Ginkel	360	060	
	5,028,109	07/02/1991	Lawandy	359	328	
	5,050,213	09/17/1991	Shear	705	053	
	5,053,339	10/01/1991	Patel	436	002	
	5,057,947	10/15/1991	Shimada	360	060	
	5,162,989	11/10/1992	Matsuda	705	001	
	5,183,763	02/02/1993	Mallow et al.	436	106	
	5,183,769	02/02/1993	Rutter et al.	438	138	
	5,185,233	02/09/1993	Santo	430	270.1	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.



Subt. Form PTO-1449				Docket Number 109960.124US3		Application Number 10/649,504	
INFORMATION DISCLOSURE IN AN APPLICATION <i>(Use several sheets if necessary)</i>				Applicant Bakos, et al.			
				Filing Date August 26, 2003		Group Art Unit 1774	
Sheet	2	OF	3				

	5,228,440	07/20/1993	Chung et al.	600	338	
	5,267,311	11/30/1993	Bakhoum	705	056	
	5,293,422	03/08/1994	Loiacono	713	200	
	5,311,494	05/10/1994	Sugita et al.	369	100	
	5,322,747	06/21/1994	Hugle	430	001	
	5,336,531	08/09/1994	Nakayama et al.	427	510	
	5,346,654	09/13/1994	Kodaka	264	001.33	
	5,370,970	12/06/1994	Tanaka et al.	430	270.21	
	5,371,792	12/06/1994	Asai et al.	705	059	
	5,373,499	12/13/1994	Imaino et al.	369	275.4	
	5,384,221	01/24/1995	Savant et al.	430	019	
	5,400,319	03/21/1995	Fite	369	275.5	
	5,412,718	05/02/1995	Narasimhalu et al.	705	051	
	5,418,852	05/23/1995	Itami et al.	705	057	
	5,426,625	06/20/1995	Bui et al.	369	044.32	
	5,432,647	07/11/1995	Tateishi	360	060	
	5,448,551	09/05/1995	Miyagawa et al.	369	271	
	5,453,968	09/26/1995	Veldhuis et al.	369	047.12	
	5,461,239	10/24/1995	Atherton	250	566	
	5,473,584	12/05/1995	Oshima	369	047.11	
	5,507,947	04/16/1996	Kriegl	210	365	
	5,510,163	04/23/1996	Sullivan et al.	428	064.1	
	5,513,169	04/30/1996	Fite et al.	369	272	
	5,513,260	04/30/1996	Ryan	380	200	
	5,538,773	07/23/1996	Kondo	428	064.1	
	5,570,379	10/29/1996	Sasaki	714	775	
	5,572,589	11/05/1996	Waters et al.	705	058	
	5,574,787	11/12/1996	Ryan	380	201	
	5,582,434	12/10/1996	Skov et al.	283	081	
	5,587,984	12/14/1996	Owa et al.	369	103	
	5,592,454	01/07/1997	Tobita et al.	369	053.36	
	5,598,399	01/28/1997	Ogihara et al.	369	275.2	
	5,608,717	03/04/1997	Ito et al.	369	275.3	
	5,629,914	05/13/1997	Clark et al.	369	059.17	
	5,636,096	06/03/1997	Mardirossian	360	133	

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.	

Subt. Form PTO-1449				Docket Number 109960.124US3		Application Number 10/649,504	
INFORMATION DISCLOSURE IN AN APPLICATION <i>(Use several sheets if necessary)</i>				Applicant Bakos, et al.			
				Filing Date August 26, 2003		Group Art Unit 1774	
Sheet	3	OF	3				

	5,636,292	06/03/1997	Rhoads	382	232	
	5,644,566	07/01/1997	Nakayama et al.	369	275.2	
	5,646,920	07/08/1997	Raczynski	369	041.01	
	5,661,703	08/26/1997	Moribe et al.	369	014	
	5,671,202	09/23/1997	Brownstein et al.	369	053.22	
	5,680,383	10/21/1997	Clark et al.	369	047.17	
	5,681,633	10/28/1997	Onagi et al.	428	064.3	
	5,692,914	12/02/1997	Mitani et al.	439	131	
	5,776,573	07/07/1998	Trotter et al.	428	064.4	
	5,815,484	09/29/1998	Smith et al.	369	275.1	
	5,846,836	12/08/1998	Mallow	436	169	
	5,935,673	08/10/1999	Mueller	428	064.1	
	5,963,536	10/05/1999	Vasic et al.	369	275.3	
	6,011,772	01/04/2000	Rollhaus et al.	369	286	
	6,038,207	03/14/2000	Wachi	369	275.1	
	6,083,667	07/04/2000	Nishizawa	430	321	
	6,087,075	07/11/2000	Kler et al.	430	321	
	6,103,141	08/15/2000	Incorvia et al.	252	194	
	6,161,106	12/12/2000	Dailey et al.	707	102	
	6,228,440	05/08/2001	Dailey et al.	428	001.1	
	6,309,496	10/30/2001	Van Hoof	156	230	
	6,338,933	01/15/2002	Lawandy et al.	430	270.1	
	6,340,555	01/22/2002	Miyamoto et al.	430	270.13	
	6,343,063	01/29/2002	Rollhaus et al.	369	286	
	6,349,823	02/26/2002	Innis	206	308.1	
	6,434,109	08/13/2002	Rollhaus et al.	369	286	
	6,511,728	01/28/2003	Bakos et al.	428	064.1	

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.	